



10-09-01

RECEIPT

Express Mail No.: EL 452 481 476 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Suffin *et al.*

Serial No. 09/930,632

Filed: August 15, 2001

For: EEG PREDICTION METHOD FOR
MEDICATION RESPONSE

Group Art Unit: 2161

Examiner: To be assigned

Attorney Docket No. 10701-010-999

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington D.C. 20231

RECEIVED
OCT 19 2001
Group 2100

Sir:

Applicants respectfully request correction of typographical errors in the filing receipt for the referenced application as follows:

Please correct the title to reflect the following:

EEG PREDICTION METHOD FOR MEDICATION RESPONSE

A marked-up copy of the filing receipt and a copy of the transmittal/fee sheet indicating the correct title as filed are enclosed.

Applicant believes that there is no fee due for this submission, however, the Commissioner is authorized to charge any fees associated with this communication to our deposit account number 16-1150 (order no. 10701-010-999). A copy of this sheet is enclosed for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

Date: October 4, 2001

By:

Richard E. Ballard

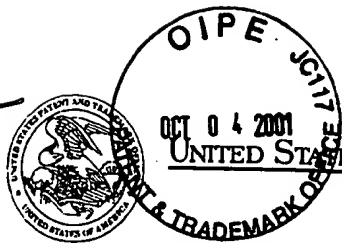
46,078

(Reg. No.)

For:

Laura A. Coruzzi (Reg. No. 30,742)

1155 Avenue of the Americas
New York, NY 10036
(212) 790-9090



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

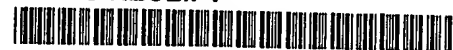
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/930,632 ✓	08/15/2001 ✓	2161 ✓	395	10701-010-999 ✓	1	11	3

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

RECEIVED
PENNIE & EDMONDS

OCT 04 2001
REB-237
CA OFFICE

CONFIRMATION NO. 2479 ✓
FILING RECEIPT



OC000000006801529

Date Mailed: 09/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stephen C. Suffin, sherman Oaks, CA;
W. Hamlin Emory, Malibu, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/148,591 09/04/1998 ✓
WHICH CLAIMS BENEFIT OF 60/058,052 09/06/1997 ✓

Foreign Applications

If Required, Foreign Filing License Granted 09/26/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

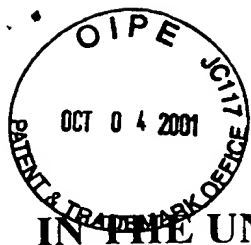
EEG

Eeg prediction method for medication response

Preliminary Class

RECEIVED
OCT 19 2001
Group 2100

REFERRED TO <u>Rivera/Ballard</u> REC'D OCT 01 2001 Pennie & Edmonds O.K. for filing _____
--



PENNIE & EDMONDS LLP DOCKET NO. 10701-010-999

Express Mail No.: EL 501 639 221 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner Susanna Meinecke-DiazArt Unit 2765Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231RECEIVED
OCT 19 2001
Group 2100

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/148,591 filed on September 4, 1998 of Stephen C. Suffin, Sherman Oaks, California; and W. Hamlin Emory, Malibu, California
(inventor(s) currently of record in prior application)

for BEG PREDICTION METHOD FOR MEDICATION RESPONSE
(title of invention)

1. ☒ The filing fee is calculated below:

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	11	-20	0	\$18.00 each	\$ 0.00
Independent	5	-3	2	\$80.00 each	\$ 160.00
Basic Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$270.00)					\$ 0.00
Total					\$ 870.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 435.00
Total Filing Fee					\$ 435.00

2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☐ Amend the specification by inserting before the first line the following sentence: